

Attorney's Docket No. 042390.P9774

PATENT

2151
#6
BT
1129-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Erik Cota-Robles, et al.

Application No.: 09/823,482

Filed: March 30, 2001

For: METHOD AND APPARATUS FOR
CONSTRUCTING HOST PROCESSOR SOFT
DEVICES INDEPENDENT OF THE HOST
PROCESSOR OPERATING SYSTEM

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

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Technology Center 2100

Assistant Commissioner for Patents
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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

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Michelle Begay

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Michelle Begay

11/8/02

Date

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- X 37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
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- (1) A statement pursuant to 37 C.F.R. §1.97(e);
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 042390.P9774		Application Number: 09/823,482		
Page 1 of 2		First Named Inventor: Erik Cota Robles, et al.		RECEIVED NOV 14 2002 Technology Center 2100		
		Filing Date: March 30, 2001				
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
		5,455,909		James S. Blomgren, et al.	10-03-1995	

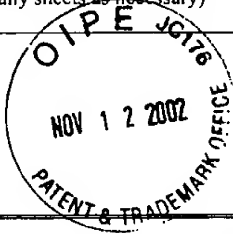
FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Office ³ Code ⁵	Number ⁴	Kind (If known)				
			EP0600112	A1	Siemens Nixdorf Information System	06-08-1994		X Abstract only
			EP0930567	A3	Texas Instruments, Inc.	07-21-1999		
			EP1146715	A1	ContentGuard Holdings, Inc.	10-17-2001		
			WO 98/34365		AT & T Corporation	08-06-1998		
			WO 98/44402		British Telecommunications Public Limited Company	10-08-1998		
			WO 99/05600		Apple Computer, Inc.	02-04-1999		
			WO 99/09482		Siemens Nixdorf Information System	02-25-1999		X Abstract only
			WO 99/57863		International Business Machines Corp.	11-11-1999		
			WO 00/62232		Digital Media On Demand, Inc.	10-19-2000		

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		BERG, Cliff, "How Do I Create A Signed Applet?" Dr. Dobb's Journal, August 1997, pages 1-9	
		GOLDBERG, Robert P., "Survey of Virtual Machine Research," IEEE Computer Magazine Vol. 7, No. 6, pages 34-45, 1974	
		GONG, Li, et al., "Going Beyond the Sandbox: An Overview of the New Security Architecture in the Java Development Kit 1.2," JavaSoft, Sun Microsystems, Inc., Proceedings of the USENIX Symposium on Internet Technologies and Systems, Monterey, California, 11 pages December 1997	
		GUM, P.H., "System/370 Extended Architecture: Facilities for Virtual Machines," IBM J. Research Development, Vol. 27, No. 6, pages 530-544, November 1983	
		HEINRICH, J., "MIPS R4000 Microprocessor User's Manual," Second Edition, Chapter 4: Memory Management, pages 67-79	
		INTEL CORPORATION, Intel386™ DX Microprocessor, 32-Bit CMOS Microprocessor With Integrated Memory Management, 56 pages, December 1995	
		LAWTON, K., "Running Multiple Operation Systems Concurrently On An IA32 PC Using Virtualization Techniques," http://www.plex86.org/research/paper.txt , pp 1-31, downloaded August 9, 2001	
		MOTOROLA, M68040 User's Manual (Including the MC68040, MC68040V, MC68LC040, MC68EC040, and MC68EC040V), Revised 1993	
		ROSENBLUM, M., "VMware's Virtual Platform™ A Virtual Machine Monitor for Commodity PCs," Proceedings of the 11th Hotchips Conference, Stanford University Palo Alto, California, pages 185-196, August 1999	

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